



Universiteit Utrecht

An Introduction to Chemical Risk Assessment *

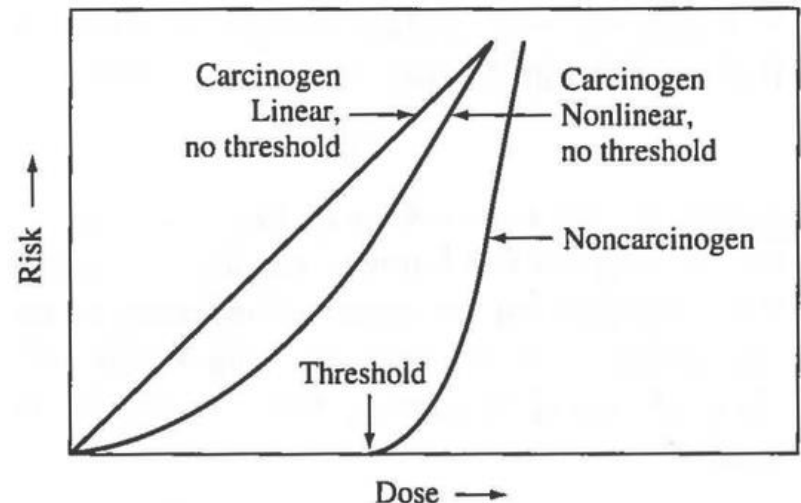
dr. ir. H. A. Tennekes
Gastcollege aan de Universiteit Utrecht
21 oktober 2016

* Based on: Druckrey, H. & Küpfmüller, K. (1949).
Dosis und Wirkung. Beiträge zur theoretischen Pharmakologie.
Editio Cantor GmbH, Freiburg im Breisgau, Germany

Current Status of Chemical Risk Assessment

No Universally Accepted Dose Response Model

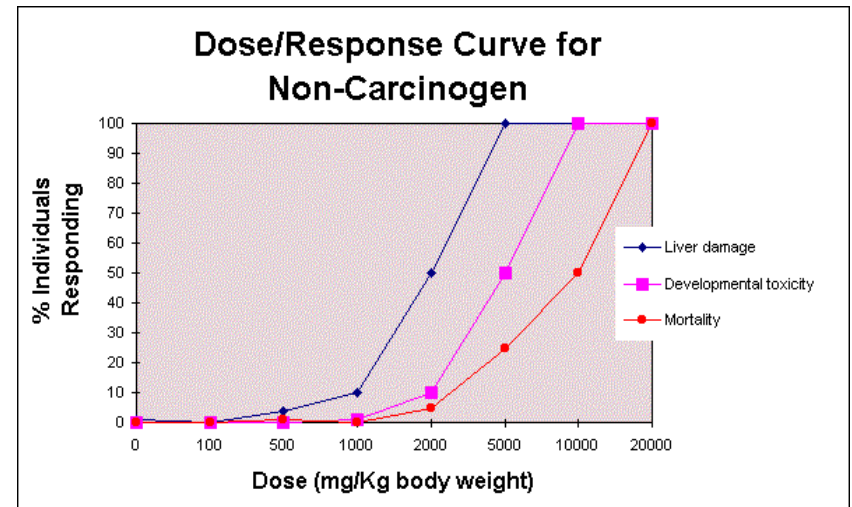
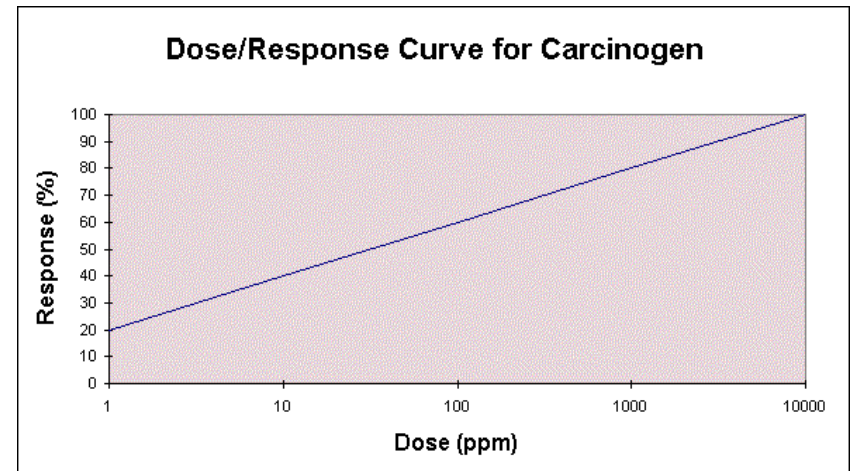
- A fundamental goal of toxicology is to determine safe levels of exposure to potentially poisonous substances for humans and the environment
- There is no universally accepted dose response model for risk assessment of low level exposures to potentially toxic substances
- Mainly because there is no consensus on presence or absence of a threshold below which no adverse effects can be assumed to occur
- The issue is readily apparent in carcinogenic risk assessment.



Current Status of Chemical Risk assessment

Carcinogenic Risk Assessment

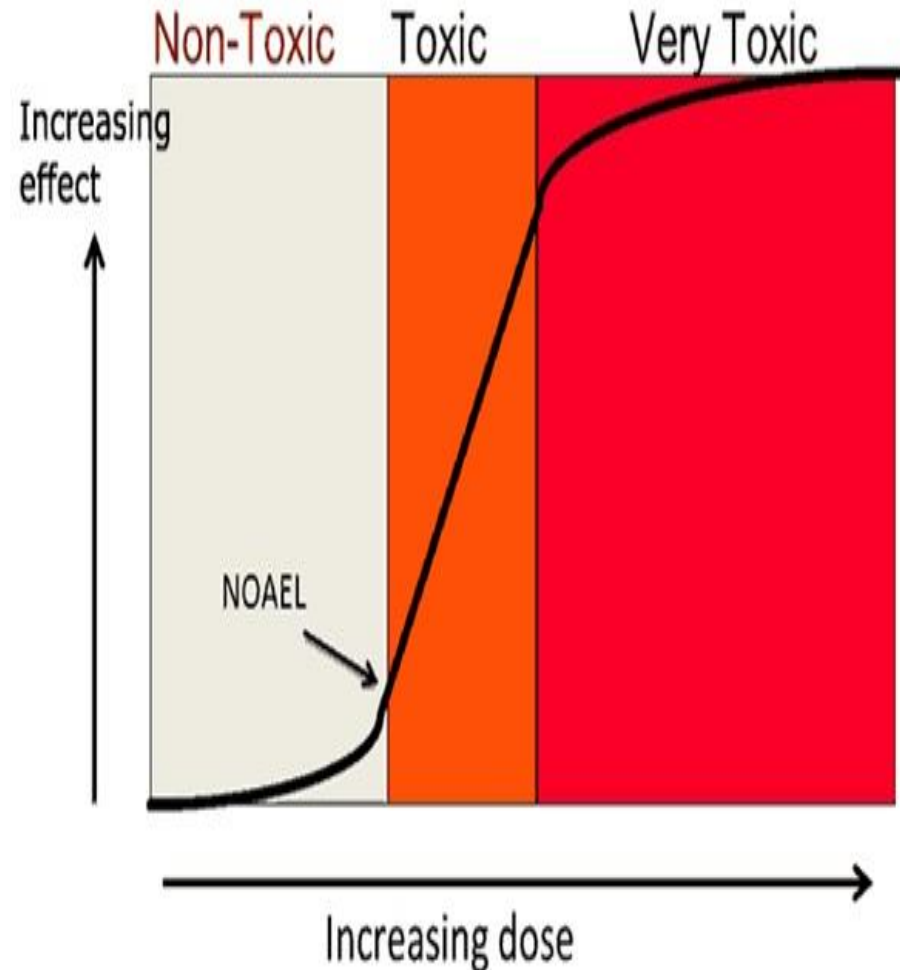
- The point of departure for carcinogenic risk assessment is the assertion that the dose-response relationship for radiation-induced mutations is linear
- Likewise, it was assumed that “one hit” of a chemical carcinogen could cause a mutation and eventually result in cancer
- Any exposure level is assumed to be associated with a finite cancer probability
- By contrast, it was assumed that a threshold, or “safe” exposure level exists for non-carcinogens, and that an acceptable daily intake can be derived from animal experiments



Current Status of Chemical Risk Assessment

Calculation of an Acceptable Daily Intake (ADI) for Non-Carcinogens

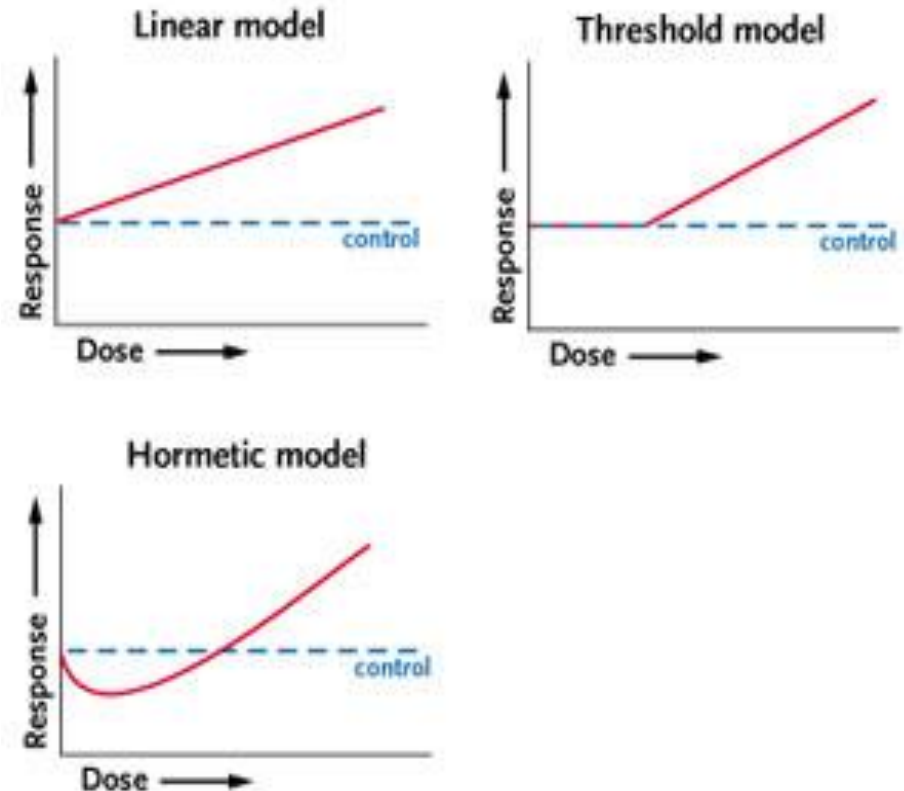
- Acceptable daily intake or ADI is a measure of the amount of a specific substance in food or drinking water that can be ingested (orally) on a daily basis over a lifetime without an appreciable health risk
- An ADI value is usually based on long-term animal studies. Usually the studies are performed with several doses including high doses
- First, a no-observed-adverse-effect level (NOAEL), the amount of a substance that shows no toxic effects, is determined
- Then, the NOAEL is divided by a safety factor, conventionally 100, to account for the differences between test animals and humans (factor of 10) and possible differences in sensitivity between humans (another factor of 10), to arrive at the ADI



Derivation of the ADI using the NOAEL

No Analysis of the Dose Response Relationship

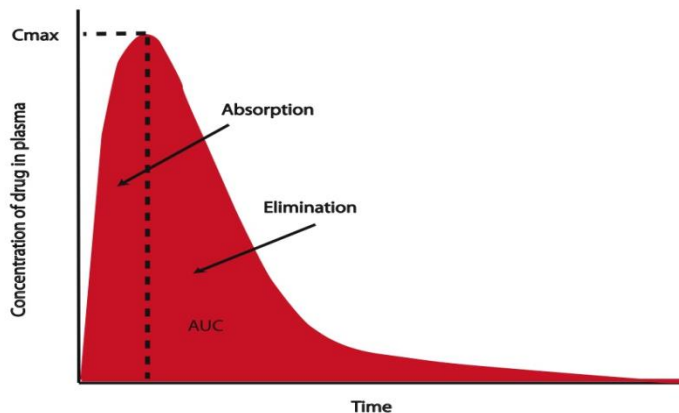
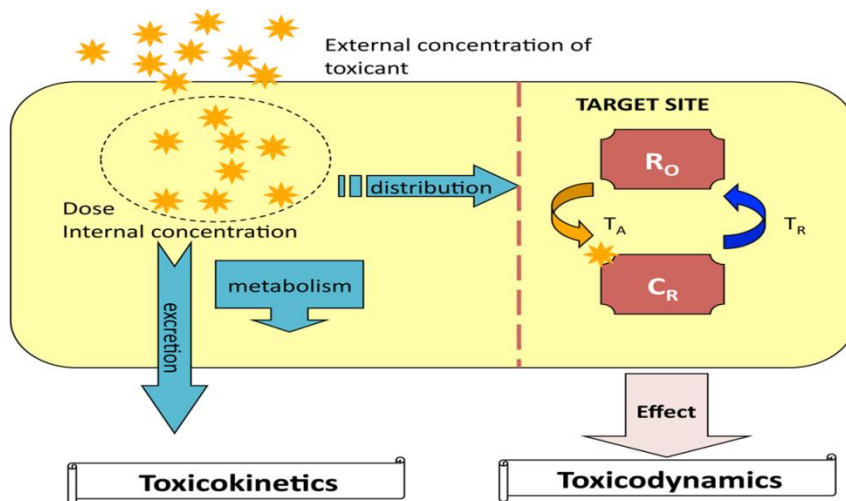
- In determining the NOAEL the data from the entire dose-response curve are not considered but rather only the data from a single dose group showing no response compared to the control group
- The NOAEL concept assumes the existence of a safe dose level or “threshold” without analysis of the dose response relationship
- However, the absence of a threshold would lead to underestimation of actual risk and there is increasing evidence that this is indeed the case for several environmental toxicants
- Examples of non-carcinogens without an apparent threshold (neonicotinoid insecticides, the rodenticide diphacinone, the insecticide dieldrin, endocrine disruptors, and sulfhydryl-reactive metals) will be discussed.
- Examples of underestimation of the risks of non-carcinogens without a threshold will be given



Dose-Response Relationships Are Complex

Toxicokinetics determine compound concentration at the site of (inter)action

Toxicodynamics (Ergokinetics) determine compound interactions leading to an effect



- A pharmacological or toxic effect is a very complicated biological process
- A dose response relationship is the result of three sequential processes which are also complex in nature
- **1. Toxicokinetics:** Absorption which in conjunction with metabolism and elimination determines the concentration of the compound (or a metabolite) at the site of (inter)action
- **2. Toxicodynamics (Ergokinetics):** Interaction of the compound (or a metabolite) with a functional organic macromolecule in the organism and
- **3. The biological effect** resulting from this interaction
- Can we make sense of the vast array of empirical dose response relationships?
- More importantly, can we obtain certainty on presence or absence of a threshold from an analysis of the dose-response relationship?

I am going to approach Chemical Risk Assessment with Mathematics



**Why do children dread mathematics? Because of the wrong approach.
Because it is looked at as a subject - Shakuntala Devi**

The aim of this presentation is to achieve understanding of the mechanisms of action that determine dose – response relationships

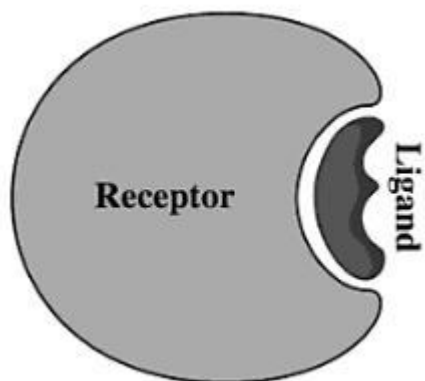
MATHEMATICS
is not about
numbers, equations,
computations, or
algorithms:
it is about
UNDERSTANDING.

William Paul Thurston

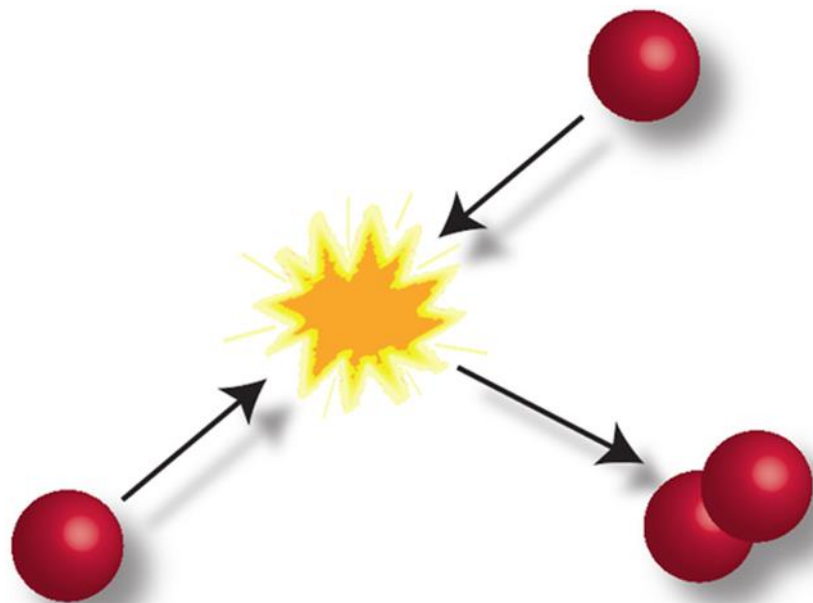
Analysis of Ergokinetics

Analysis of the Kinetics of Receptor Binding

The biological effect of a drug is assumed to result from binding to a functional organic macromolecule referred to as a specific receptor, in a bimolecular reaction



The rate of receptor binding is directly proportional to the product of the concentrations of the reactants (law of mass action)



The Rate of Receptor Binding

According to the Law of Mass Action

The rate of receptor binding is determined by the product of

1. The concentration of the drug at the site of interaction with the receptor C

2. The concentration of free (unbound) receptors,

i.e. by the difference between the initial concentration of free receptors R_0 and the concentration of bound receptors C_R : $R_0 - C_R$

Applying the law of mass action the rate of receptor binding is

$$K (R_0 - C_R) C$$

where K is a reaction constant

The Reaction Kinetics of Receptor Binding

The Difference between the Rate of Receptor Binding (Association) and the Rate of Dissociation of Bound Receptors

The reaction kinetics of receptor binding are determined by the difference between

the rate of receptor binding (association)

$$K (R_0 - C_R) C$$

and the rate of dissociation which is determined by the reversibility of receptor binding. Instead of a reaction constant we write the reciprocal value of a time constant because it has the dimension of a time

$$C_R / T_R$$

So we obtain the following equation for the reaction kinetics of receptor binding

$$dC_R / dt = K (R_0 - C_R) C - C_R / T_R$$

Reaction Kinetics of Receptor Binding

Effect Occurs when $C_R \ll R_0$ (First Order Kinetics)

The reaction kinetics of receptor binding are

$$dC_R / dt = K (R_0 - C_R) C - C_R / T_R$$

Now assume the effect occurs under circumstances where $C_R \ll R_0$, then R_0 remains practically constant, in which case

$$dC_R / dt = K R_0 C - C_R / T_R$$

Because K and R_0 are constant we can now define the time constant for association

$$K R_0 = 1 / T_A$$

and the reaction kinetics then simplify to

$$dC_R / dt = C / T_A - C_R / T_R$$

First Order Reaction Kinetics of Receptor Binding in Equilibrium

$$dC_R / dt = C / T_A - C_R / T_R$$

In equilibrium where $dC_R / dt = 0$

$$C_R / T_R = C / T_A$$

$$C_R = C [T_R / T_A]$$

Assuming the effect is determined by the relative concentration of bound receptors C_R / R_0 we replace C_R by C_R / R_0 and obtain

$$\text{Effect} \sim C_R / R_0 = [1 / R_0] [T_R / T_A] C$$

This equation is dimensionless and generally applicable

Determinants of Dose Response

Effect is determined by the relative concentration of bound receptors C_R / R_0

$$C_R / R_0 = [1 / R_0] [T_R / T_A] C$$

Effect is

- **inversely proportional to the concentration of specific receptors R_0**

So if the concentration of specific receptors R_0 is low, as may be the case with endocrine effects, pronounced effects may be induced by very low drug concentrations C . Many pesticides are alleged to cause endocrine disruption and there is much discussion about safe exposure levels, which some argue may not exist

- **proportional to the quotient of time constants T_R / T_A**

*So if the quotient of time constants $T_R/T_A \ll 1$, i.e., if receptor binding is quickly reversible, we are not really dealing with a poison in the strict sense of the word, but toxic effects are still possible at very high drug concentrations C . This is the theoretical explanation of the Paracelsus paradigm **Dosis facit venenum***

Dependence of the Effect on Compound Concentration at the Target Site

The reaction kinetics of receptor binding are

$$dC_R / dt = K (R_0 - C_R) C - C_R / T_R$$

Replacing the concentration of bound receptors C_R by the relative concentration of bound receptors C_R/R_0 and the reaction constant $K R_0$ by $1 / T_A$ (where T_A is regarded as the time constant for association), we obtain

$$\frac{[dC_R / R_0]}{dt} = \frac{[C (1 - C_R / R_0)]}{[R_0 T_A]} - \frac{[C_R / R_0]}{T_R}$$

This Equation can be simplified to indicate the relative concentration of bound receptors C_R/R_0 (leading to the effect) in steady-state, i.e. when $[dC_R / R_0] / dt = 0$ then

$$\text{Effect} \sim C_R / R_0 = \frac{[C / R_0] \cdot [T_R / T_A]}{1 + [C / R_0] \cdot [T_R / T_A]}$$

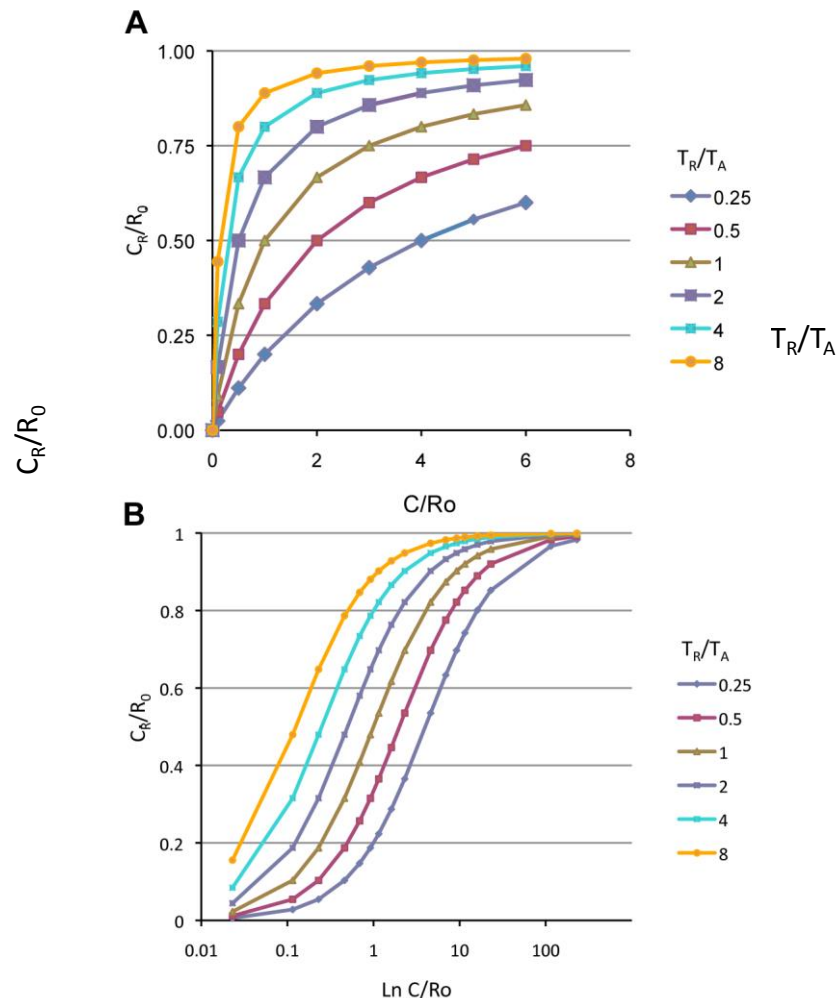
Dependence of the Toxic Effect on Relative Compound Concentration at the Target Site

In steady state, the relationship between relative concentration of bound receptors C_R/R_0 (leading to the toxic effect) and relative toxicant concentration C/R_0 is invariably a hyperbole

The higher the T_R/T_A ratio, the higher the toxicity

A linear concentration : effect relationship may occur whenever receptor binding leading to an effect is less than 25%

A logarithmic concentration : effect relationship may occur whenever the effect requires a high degree of receptor binding (20-80%)



Concentration-Dependent Toxicity

Dissociation is a Fast Process

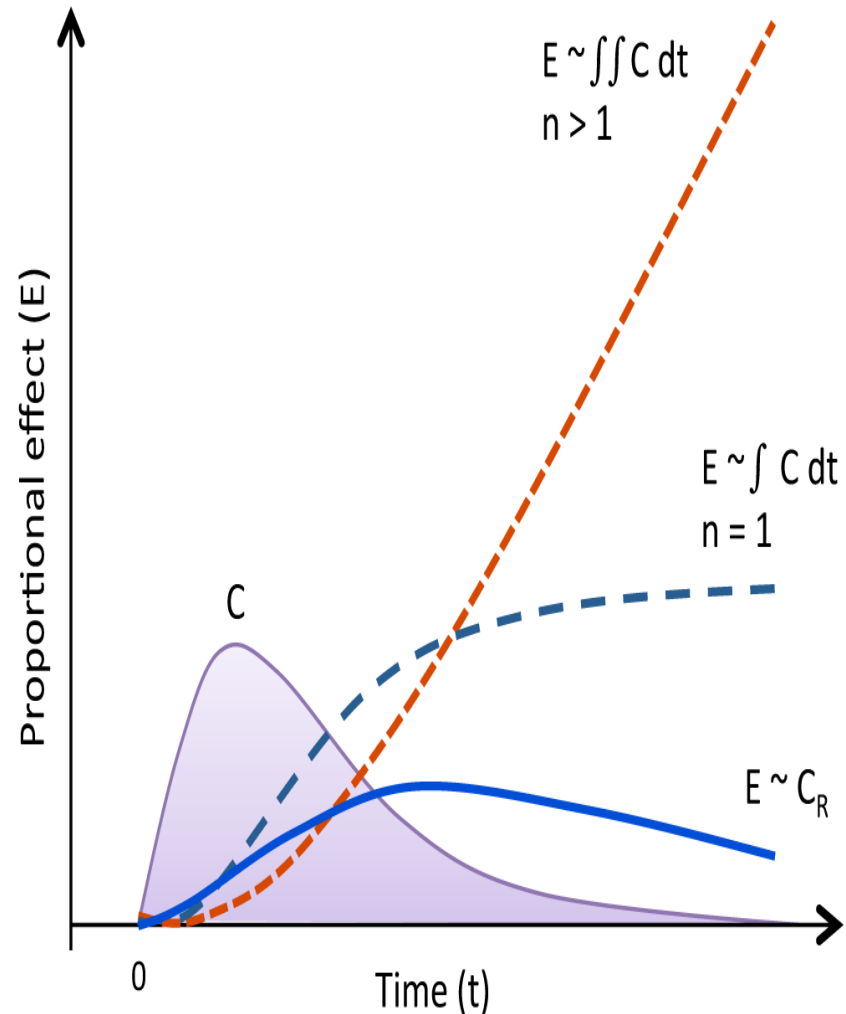
Effect is determined by C_R / R_0

$$C_R / R_0 = [1 / R_0] [T_R / T_A] C$$

If T_R is low, i.e. when dissociation is a fast process, the equilibrium between C and receptor binding (and effect) will be established quickly but the toxic effect will also regress quickly.

The time course of the effect will be the same as the time course of the concentration at the site of action C , and the maximum effect will occur when the concentration at the site of action C is at its maximum.

The effects will thus be strictly concentration-dependent



Time-Dependent Toxicity

Slowly Reversible Receptor Binding

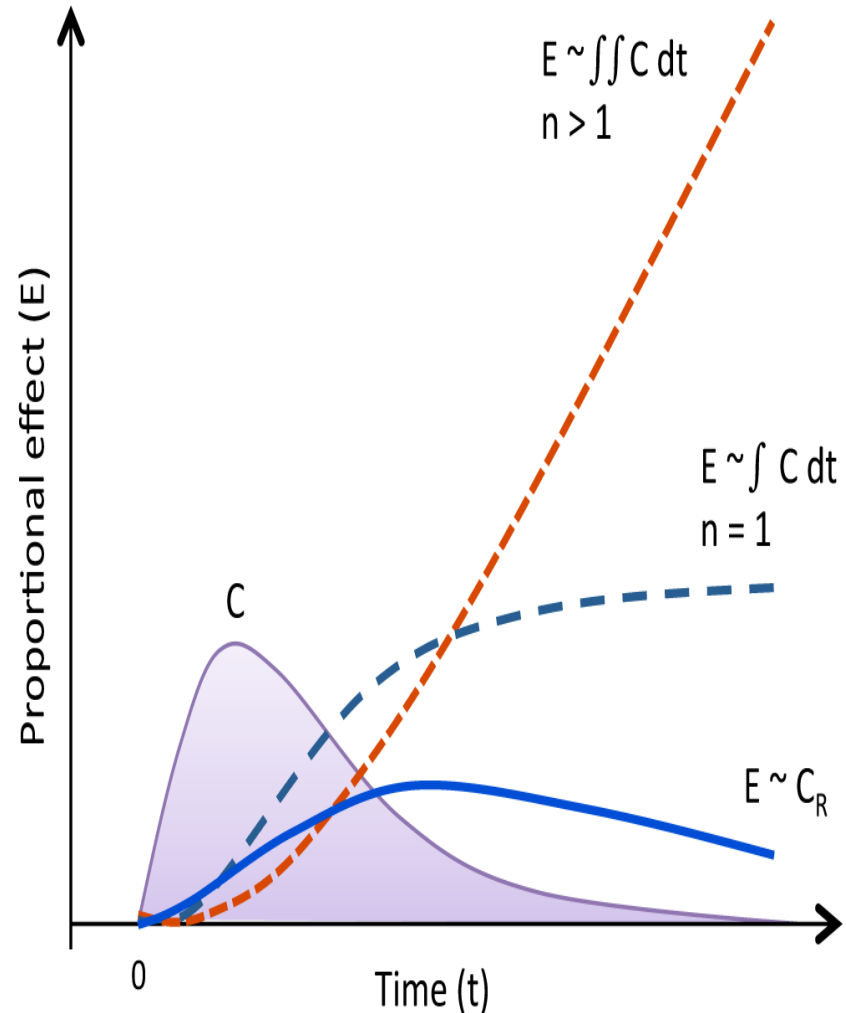
Effect is determined by the relative concentration of bound receptors C_R / R_0

$$C_R / R_0 = [1 / R_0] [T_R / T_A] C$$

If the time constant for dissociation T_R is high, i.e. when receptor binding is only slowly reversible, the time to maximum effect will be delayed, and the toxic effect will also be slowly reversible.

Because equilibrium between C and receptor binding will be established very slowly, toxicity becomes a process that takes place in time. Upon repeated exposure in quick succession, there may be cumulative effects.

There will be a latency period up to a defined effect



Reaction Kinetics of Irreversible Receptor Binding

Haber's Rule

The reaction kinetics of receptor binding are

$$dC_R / dt = K (R_0 - C_R) C - C_R / T_R$$

Now assume the effect occurs under circumstances where $C_R \ll R_0$, then R_0 remains practically constant, in which case

$$dC_R / dt = K R_0 C - C_R / T_R$$

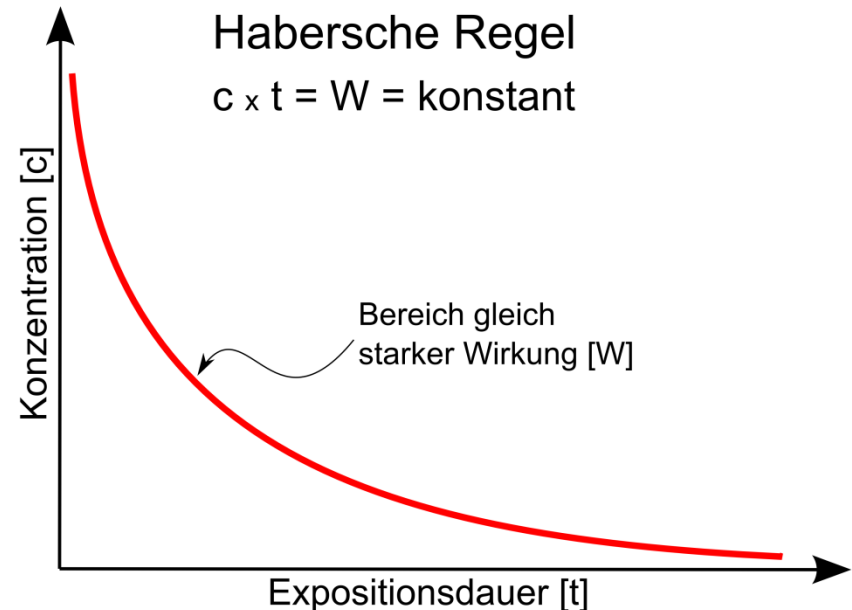
If receptor binding is irreversible, then T_R approaches infinity and we obtain

$$dC_R / dt = K R_0 C$$

If the drug concentration C remains constant during the study, integration yields

$$C_R / R_0 = K C t$$

A defined effect is determined by the product of exposure concentration C and duration t



Haber's Rule or Haber's Law

C x t = constant

may characterise the dose response relationship of substances with irreversible effects, such as carcinogenic or lethal effects.

A defined effect is determined by the product of exposure concentration and exposure duration, that is by the total dose administered.

The toxicity is cumulative, i.e. the toxic effects of even the smallest doses persist, strongly suggesting that a threshold may not exist

Liver cancer induced in rats by 4-dimethylaminoazobenzene (4-DAB)

Druckrey, H. *Klin. Wochenschr.* 1943, 22: 532

Daily dose (mg/rat)	Median tumor induction time (days)	Total dose (mg/rat)
30	34	1020
20	52	1040
10	95	950
5	190	950
3	350	1050

Haber's Rule or Haber's Law

Threshold concentration may not exist

The famous British pharmacologist AJ Clarke arrived at similar conclusions when he expanded Haber's rule to characterise the action of a number of drugs

$$(C - C_m) (t - t_m) = \text{constant}$$

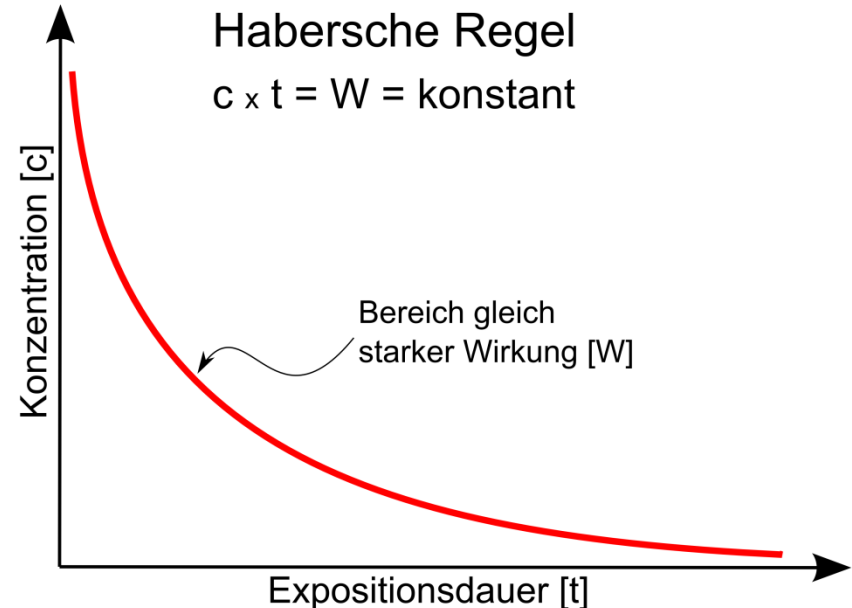
where C_m is a threshold concentration, and

t_m a minimum time of response

Clark commented at the time (Clark, 1937):

The formula $ct = \text{constant}$ is indeed an impossible one in the case of drugs acting on biological material because it implies that an infinitely small concentration of a drug will produce the selected action in infinite time, and conversely that a sufficiently high concentration will produce an instantaneous effect.

In some cases $ct = \text{constant}$ gives an approximate fit, but this merely implies that C_m and t_m are so small as not to produce a measurable error"



Haber's Rule Will Only Apply Under Certain Conditions

Haber's rule or Haber's law

$$C \times t = \text{constant}$$

Proportionality between the exposure concentration c and the concentration at the site of action C , which must also increase over time in a strictly linear fashion

$$dC/dt = K c$$

Effect E has to be proportional to the concentration at the site of action C (and thus to exposure concentration c) as well, so that

$$dE/dt = K c \text{ and } E = K \int c dt$$

If, under such circumstances, the exposure concentration c is kept constant then

$$E = K c t$$

and the toxicant will follow Haber's rule, that is the velocity of the effect E/t will be linearly related to the exposure concentration c

$$E/t = K c$$

Reinforcement Of An Effect By Exposure Time

Druckrey-Küpfmüller Equation $C \times T50^n = \text{constant}$, with $n > 1$

If receptor binding is irreversible, the concentration of bound receptors C_R is proportional to the integral of the drug concentration at the target site C over time:

$$C_R \sim \int C dt \quad (1)$$

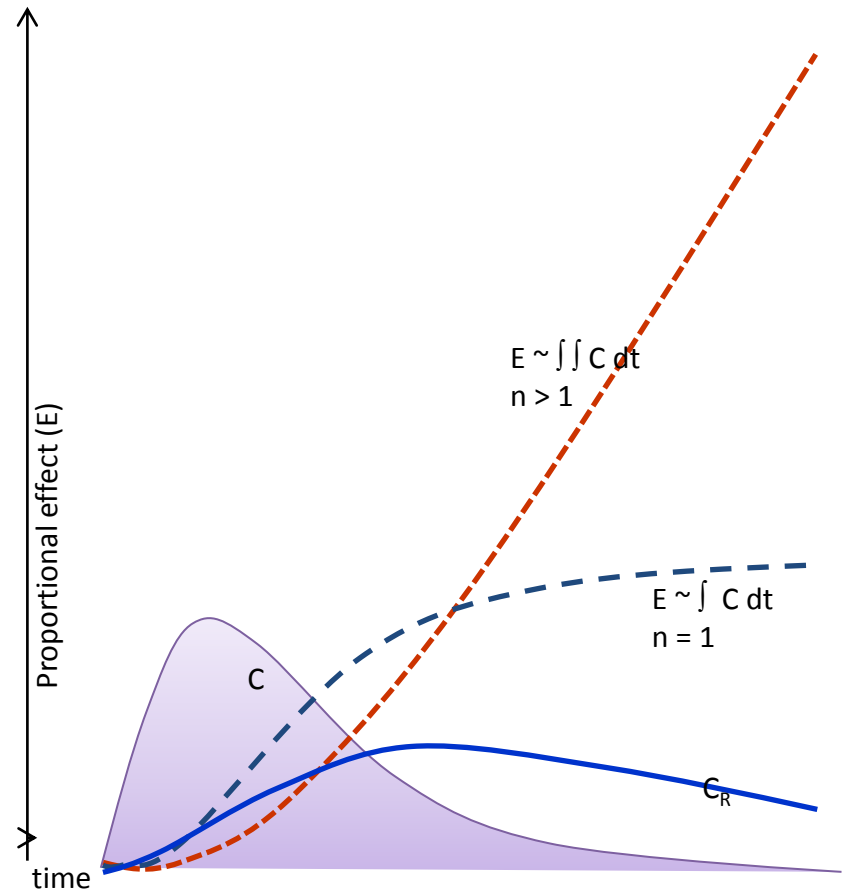
If the subsequent effect is irreversible as well the effect E is proportional to the integral of the concentration of bound receptors C_R over time:

$$E \sim \int C_R dt \quad (2)$$

So, in cases of irreversible receptor binding and an irreversible effect, the effect E is proportional to the double integral of the drug concentration at the target site C over time, as the combination of eq. (1) and (2) shows:

$$E \sim \int \int C dt \quad (3)$$

This is the theoretical explanation of the Druckrey-Küpfmüller Equation $C \times T50^n = \text{constant}$, with $n > 1$

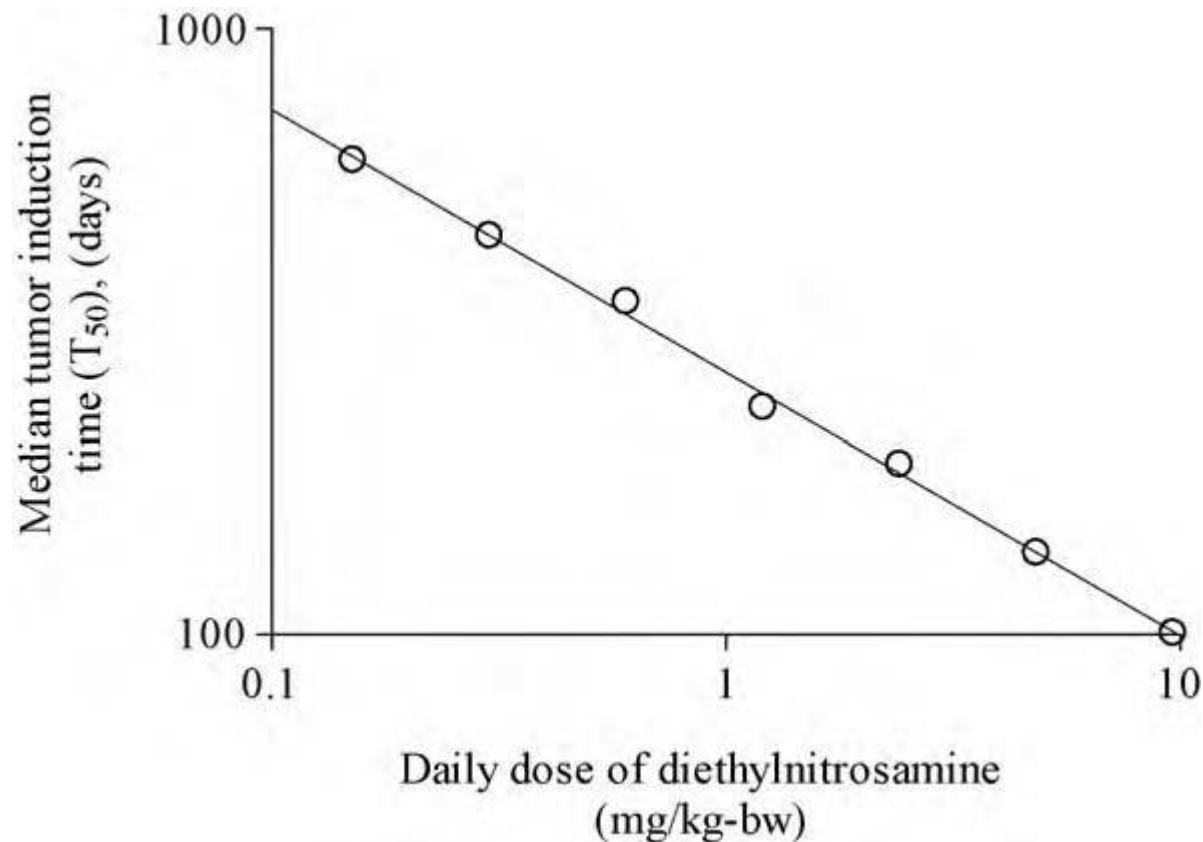


Druckrey-Küpfmüller Equation $C \times T_{50}^n = \text{constant}$, with $n = 2.3$

Liver Cancer Induction in Rats by Diethylnitrosamine

Suggesting Irreversible Receptor Binding with Irreversible Effects

Druckrey, H., Schildbach, A., Schmaehl, D., Preussmann, R., Ivankovic, S., 1963. *Arzneimittelforsch.* 13, 841–851



Mechanism of Action of Genotoxic Carcinogens

Formation of DNA adducts leading to mutations

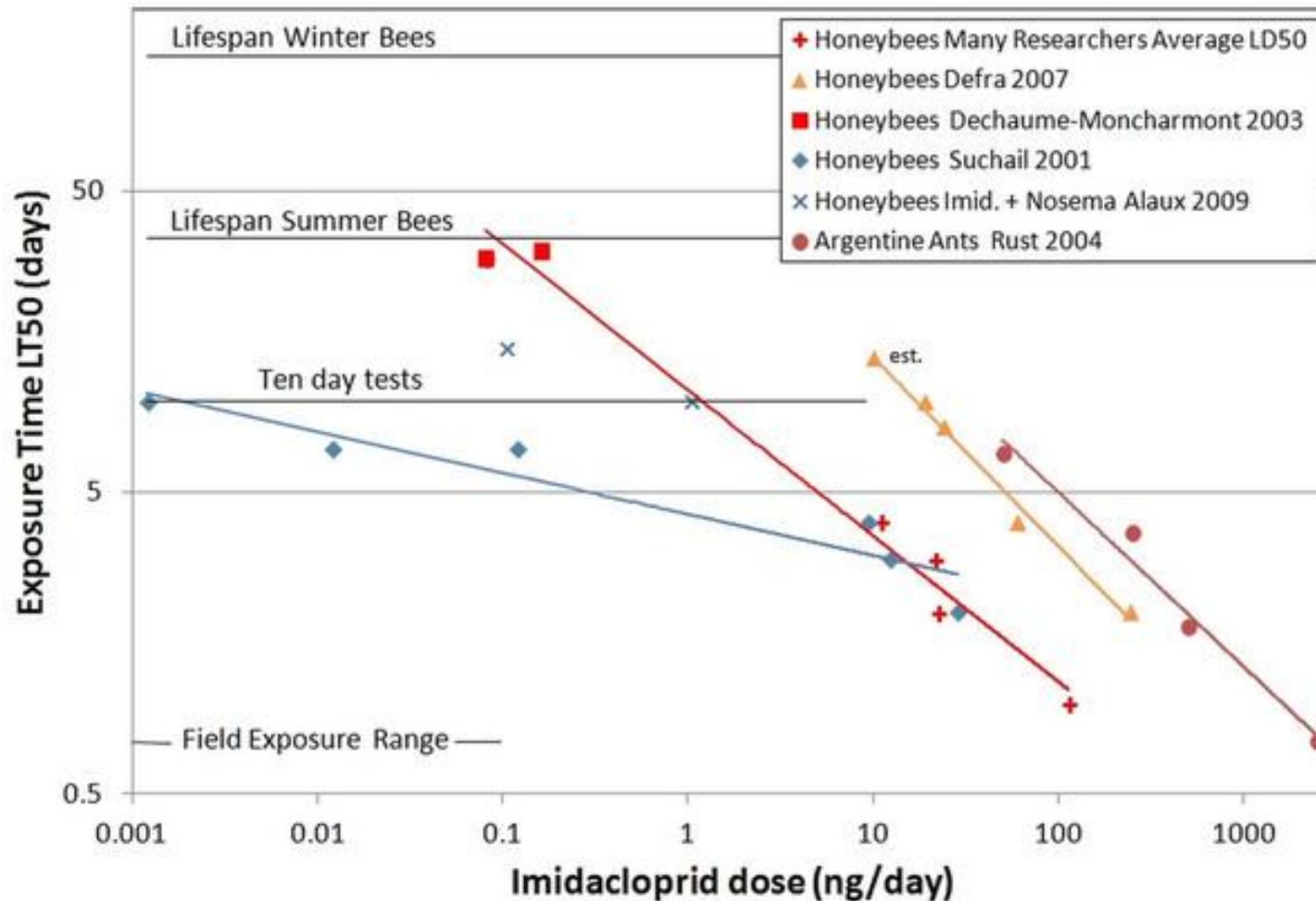
- Most genotoxic carcinogens are electrophiles that interact directly with DNA through the formation of covalent bonds, resulting in DNA-carcinogen complexes (**DNA adducts = irreversible receptor binding**).
- These complexes lead to various types of DNA damage, including the formation of cross-links between the two helices, chemical bonds between adjacent bases, removal of DNA bases (hydratation) and cleavage of the DNA strands, all of which result in modifications to the information stored within the DNA (**mutations = irreversible effect**).



Druckrey-Küpfmüller Equation $C \times T_{50} = \text{constant}$, with $n > 1$

Time-Cumulative Effects of a Neonicotinoid Insecticide in Bees and Ants

G. Rondeau, F. Sánchez-Bayo, H.A. Tennekes, A. Decourtye, R. Ramirez-Romero, N. Desneux
Nature Sci. Rep. 4, 5566; DOI:10.1038/srep05566



IMIDACLOPRID

Time-Cumulative Toxicity in Various Species

Druckrey-Küpfmüller Equation $C \times T50^n = \text{constant}$, with $n > 1$

The dose response of Imidacloprid in the water flea *Daphnia magna* follows

$$C \times T50^{2.4} = \text{constant}$$

The dose response of Imidacloprid in the hymenopteran parasitoid *Chelonus blackburni* follows

$$C \times T50^{1.5} = \text{constant}$$

The dose response of Imidacloprid in honey bees *Apis mellifera* follows

$$C \times T50^{5.8} = \text{constant}$$

The dose response of Imidacloprid in the ostracod *Cypridopsis vidua* follows

$$C \times T50^{4.7} = \text{constant}$$

A description of the mechanism of action of imidacloprid by Bayer CropScience experts confirms irreversible interactions and effects:

Irreversible Receptor Binding:

The compound led to a continuous blockage of insect-specific nicotinic-acetylcholine receptors (nAChR), causing tetanic muscle contractions within minutes of exposure. This manifested as intense trembling of the legs and pumping movements of the body.

Irreversible Effects:

The affected flea stages remained motionless while the nerves and muscles were constantly and irreversibly destroyed due to hyperactivity. The ganglia of the head and thorax and the striated muscles of the flea body and legs were damaged first, whereas the intestinal movements (e.g., visible in larvae) took longer to exhibit damage.

Mechanism of Action of Neonics

Abbink, J. (1991) Pflanzenschutz-Nachrichten Bayer, Serial ID-ISSN 0340-1723C.
Di Prisco, G. et al. PNAS 110, 18466–18471, doi:10.1073/pnas.1314923110 (2013)

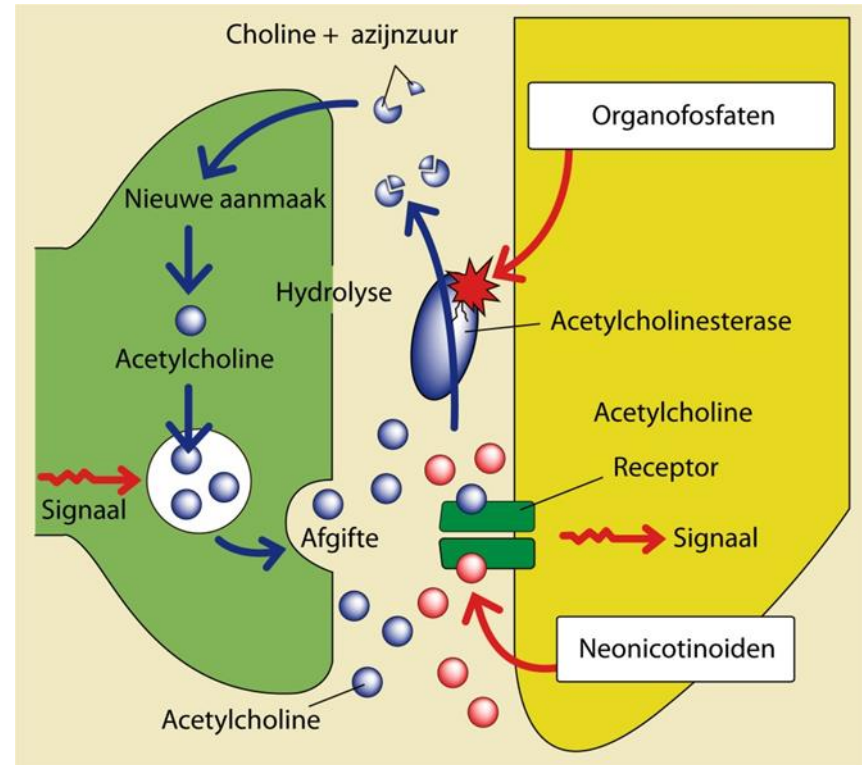
Mechanism of action

„Their Mode Of Action Derives From Virtually Irreversible Blockage Of Postsynaptic Nicotinic Acetylcholine Receptors“

Neonicotinoids impair cognition and downgrade the innate immunity pathway governed by NF-κB

Neonicotinoids account for worker bees neglecting to provide food for eggs and larvae, for a breakdown of the bees' navigational abilities, and for increased susceptibility to infectious diseases

Irreversible Blockage of nAChRs



Rodenticide Diphacinone

Time-Cumulative Toxicity in *Falco sparverius*

The anticoagulant rodenticide diphacinone fed to American kestrels (*Falco sparverius*) shows reinforcement of mortality over time
The Druckrey-Küpfmüller Equation is

$$C \times T^{50}^{1.6} = \text{constant}$$

This dose response relationship points to irreversible receptor binding associated with an irreversible effect.

Diphacinone binds irreversibly to vitamin K epoxide reductase, impairing the carboxylation of the serine protease coagulation factors that result in hemorrhages and ultimately death



Viability of Rat Cerebrocortical Neurons Exposed to Methylmercury

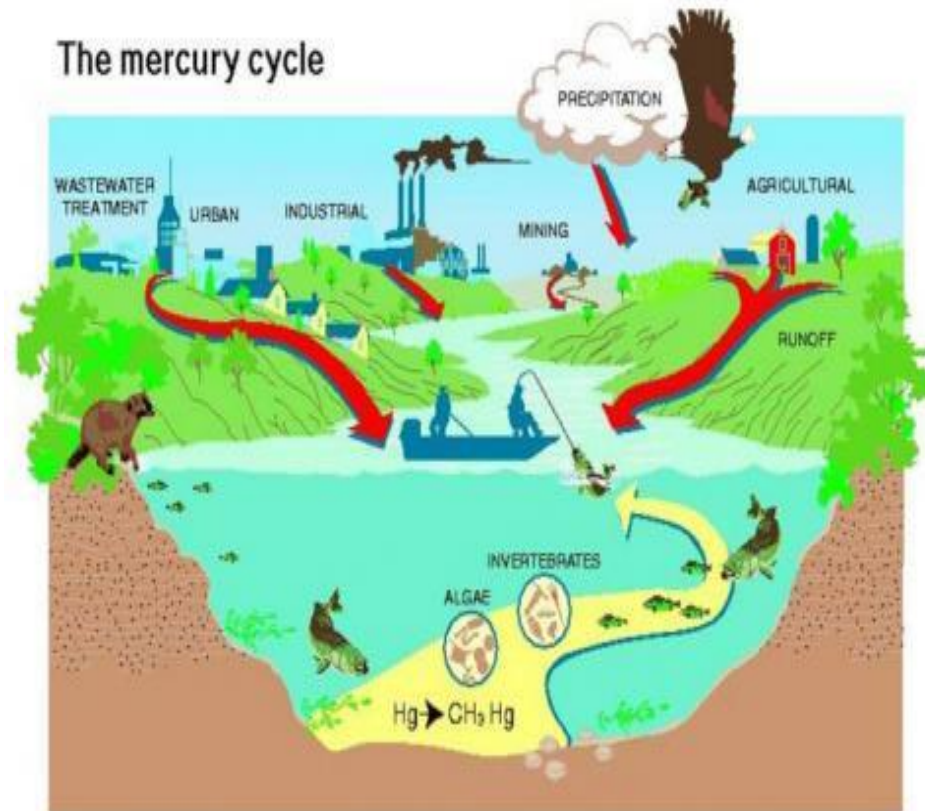
MeHg follows a pattern of toxicity that is reinforced with time of exposure

$$C \times T50^{1.7} = \text{constant}$$

Formed by anaerobic microorganisms in aquatic sediments, MeHg is biomagnified through the food chain.

As with inorganic mercury, MeHg also forms covalent bonds with sulfide groups in proteins, but it is more toxic than mercury because it penetrates the tissues and reaches the central nervous system

Its neurotoxicity symptoms include motor difficulties, sensory problems and mental retardation, an irreversible condition known as Minamata disease



(Illustration by Connie J. Dean, U.S. Geological Survey)

The Druckrey-Küpfmüller Equation As Indicator of Time-dependent and Time-Cumulative Toxicity

The Druckrey-Küpfmüller Equation

$$C \times T50^n = \text{constant}$$

can serve as a screening tool to identify toxicants that show time-dependent or time-cumulative toxicity

Haber's rule is a special case of the Druckrey-Küpfmüller Equation

$$C \times T50^n = \text{constant}$$

where $n=1$

If a compound is shown to follow the Druckrey-Küpfmüller equation, it may be impossible to define a threshold, i.e. a safe level of exposure, and its use should be severely restricted and preferably be prohibited

Time To Event Methods Are Required For Risk Analysis

An increasing number of researchers are using a variant of the traditional toxicity testing protocol which includes time to event (TTE) methods.

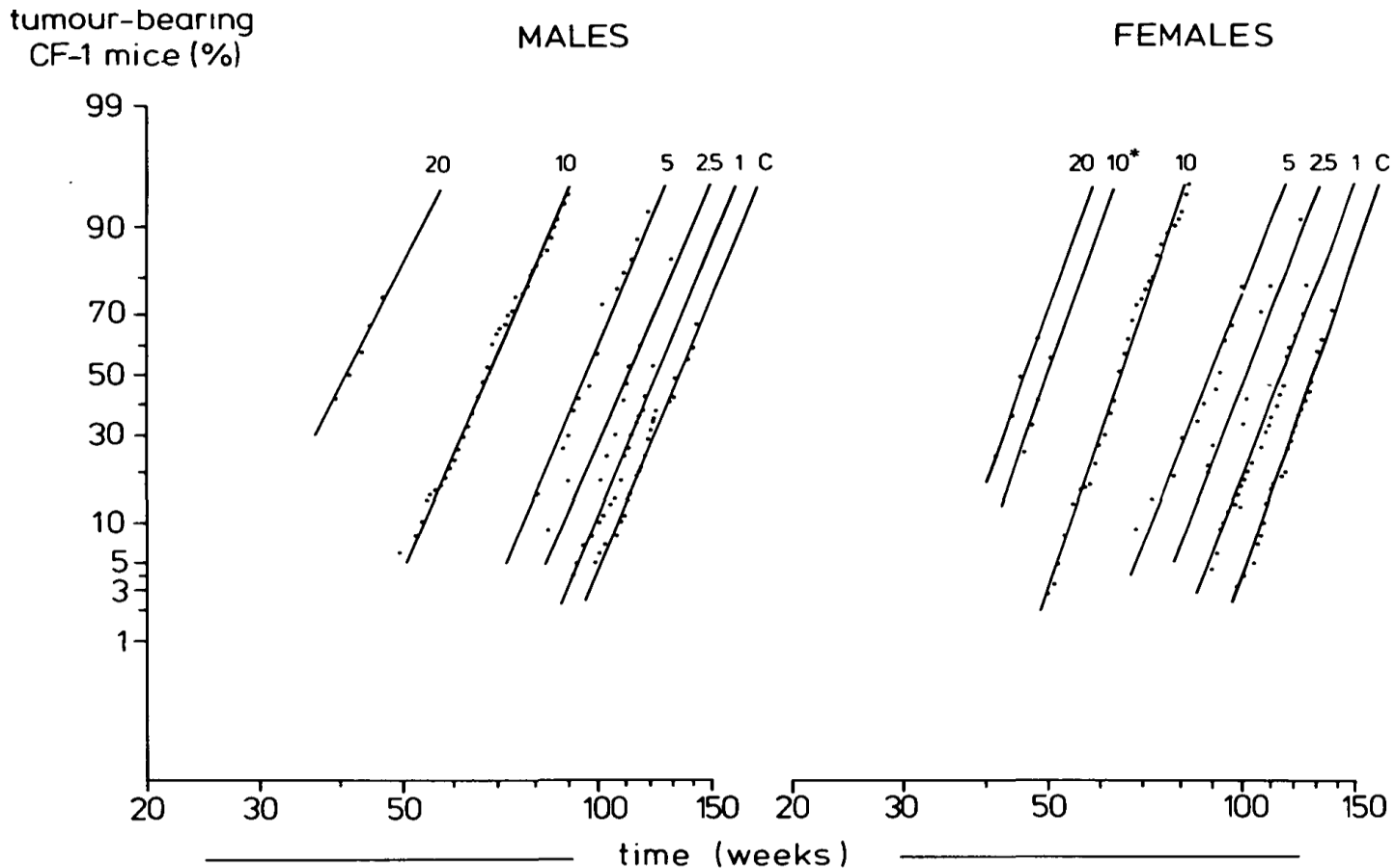
This TTE approach measures the times to respond for all individuals, and provides information on the acquired doses as well as the exposure times needed for a toxic compound to produce an effect on the organisms tested.

Consequently, extrapolations and predictions of toxic effects for any combination of concentration and time are now made possible.

Revised Approach to Risk Assessment

Liver Tumor Promotion in Mice Exposed to the Insecticide Dieldrin

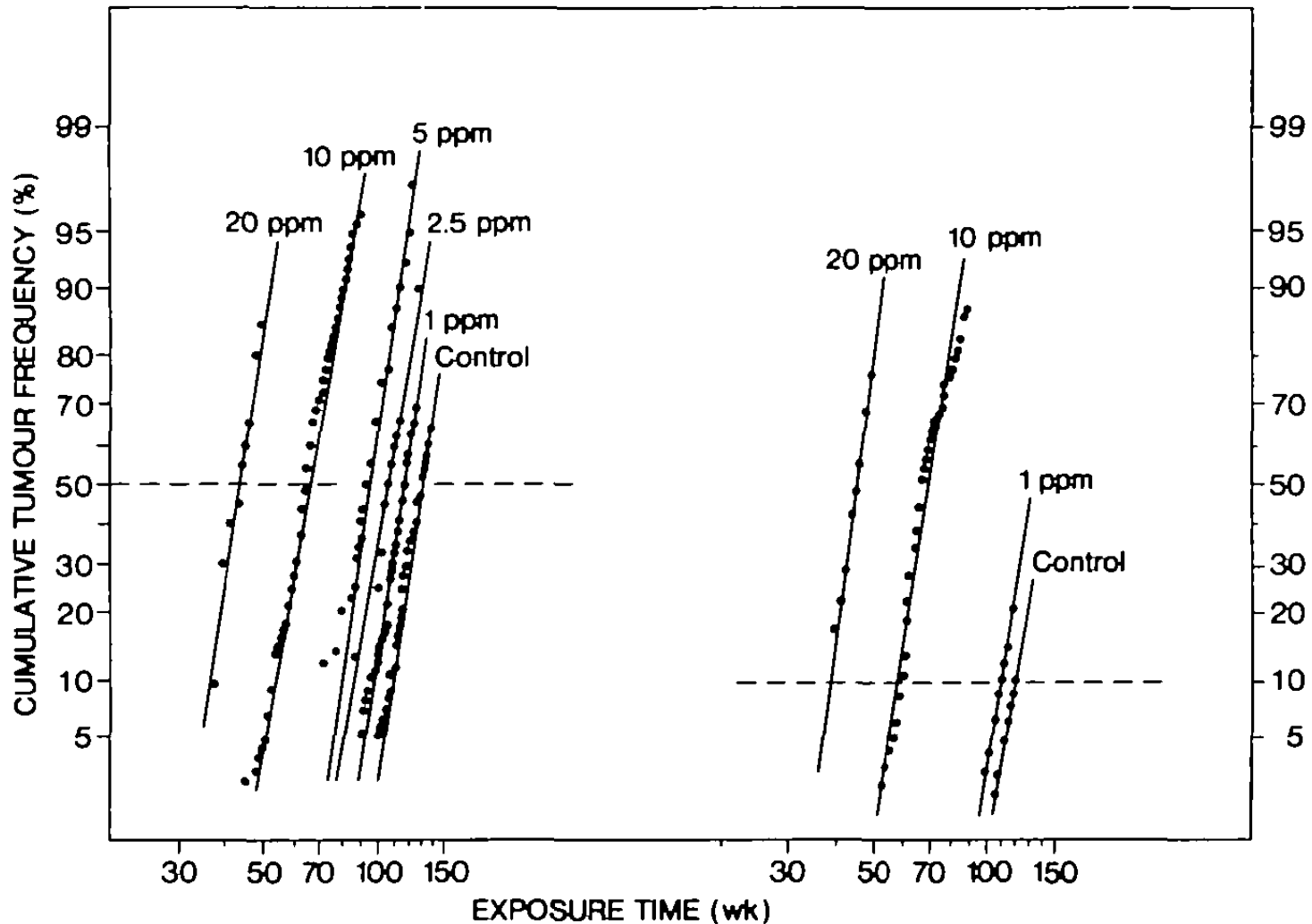
H. A. Tennekes et al. (1982) *Carcinogenesis* 3, 941-945



Revised Approach to Risk Analysis

Relate the Velocity of Liver Tumor Induction to the Dieldrin Exposure Level

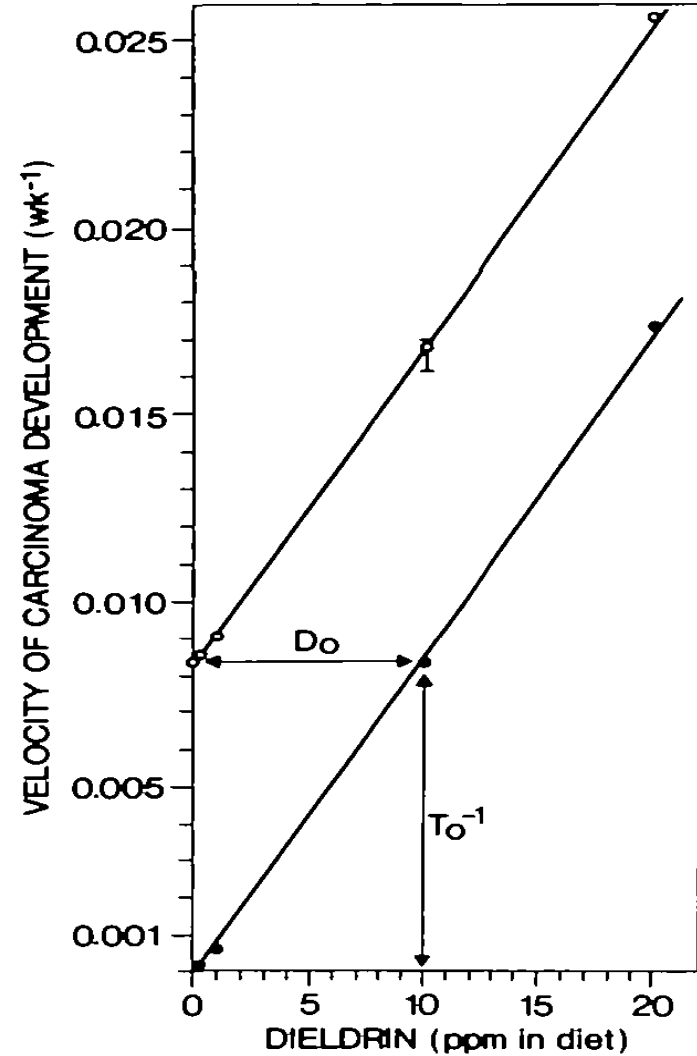
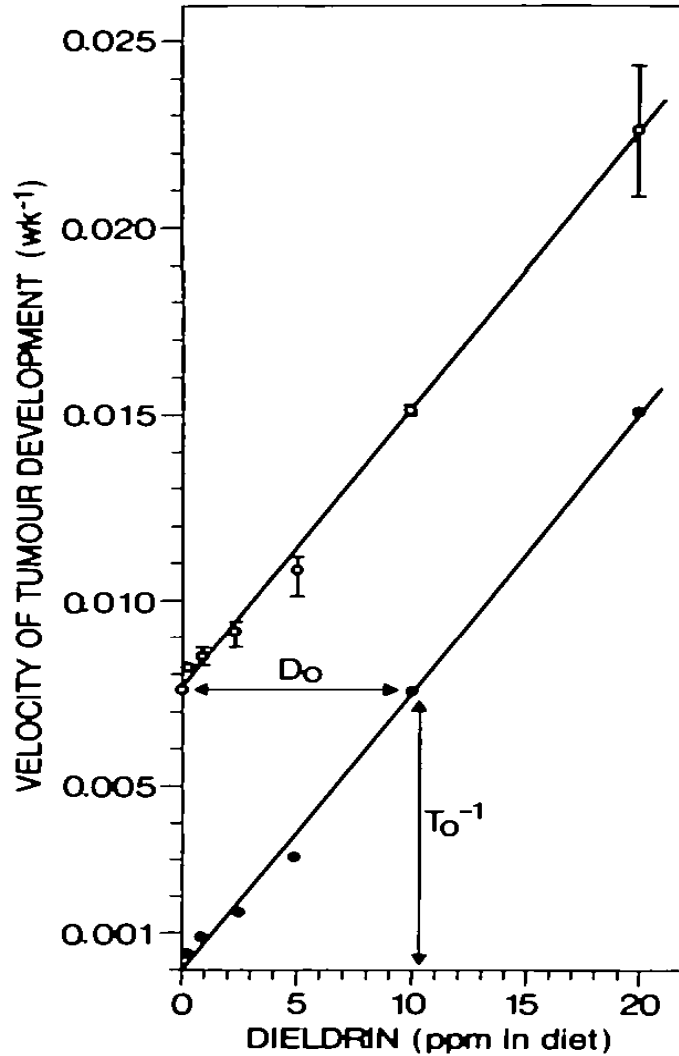
H. Tennekes et al. (1985) Carcinogenesis 6, 1457-1462



Revised Approach to Risk Analysis

The Velocity of Mouse Liver Tumor Promotion by Dieldrin is Linearly Related to Exposure Concentration
There is No Threshold Concentration (No Safe Exposure Level)

H. Tennekes et al. (1985) Carcinogenesis 6, 1457-1462



Time to Effect Analysis (Adopted by EFSA) For Imidacloprid in Bees Using Druckrey-Küpfmüller Equation

$$\ln t_{50} \text{ (h)} = 5.19 - 0.17 \ln c \text{ (}\mu\text{g L}^{-1} \text{ or kg}^{-1}\text{)}$$

$$c \times t_{50}^{5.9} = \text{constant}$$

Residues	Imidacloprid (PEC) ($\mu\text{g L}^{-1}$ or kg^{-1})	Aver. Exposure Concentration c (PEC \times frequency (11%)) ($\mu\text{g L}^{-1}$ or kg^{-1})	Predicted time to lethal effect t₅₀ (hrs)	Percentage of average life expectancy
Nectar	1	0.11	263	26
	3	0.33	218	22
Pollen	0.7	0.08	280	28
	10	1.1	177	18

Issue of Concern: Imidacloprid Frequently Exceeds The Maximum Permissible Risk Level (MTR) in Dutch Surface Water

MTR = 13 nanogram per liter

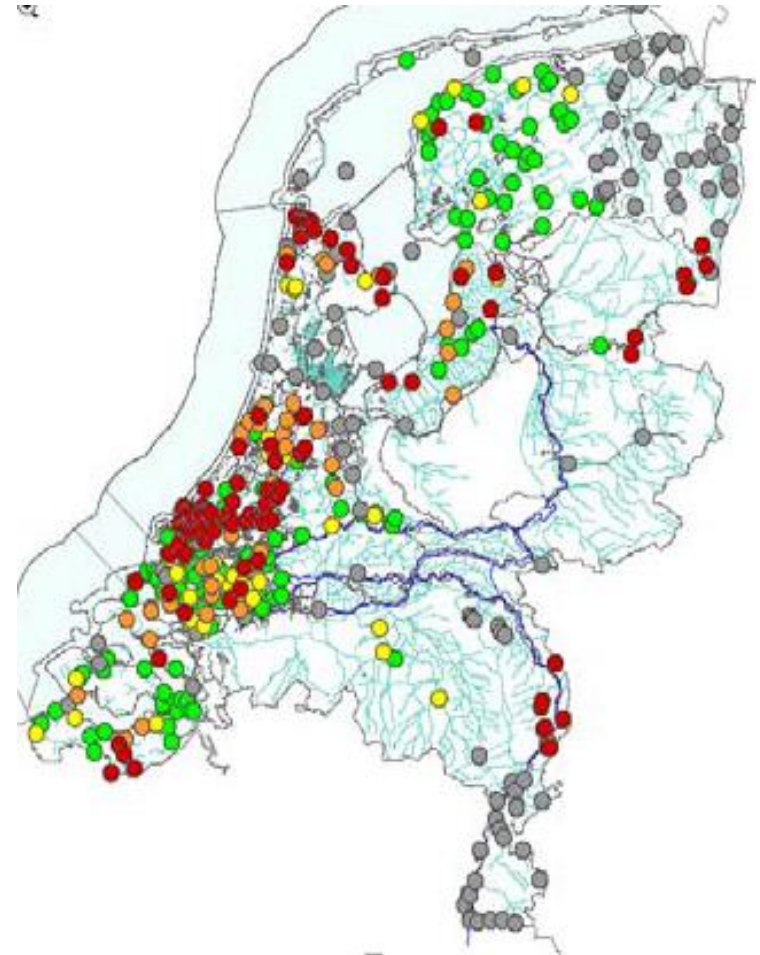
- > 5 MTR
- > 2MTR < 5MTR
- > MTR < 2MTR
- < MTR
- undetermined

Imidacloprid is prone to leach from soils into groundwater and runoff to surface water. A safe dose for insects cannot be defined

This insecticide should never have been allowed in areas with high groundwater levels, such as the western parts of Holland

Sources:

- Bestrijdingsmiddelenatlas (CML, 2013)
- C.E. Smit | D. Kalf. Bestrijdingsmiddelen in oppervlaktewater. Vergelijking tussen Nederland en andere Europese landen. RIVM briefrapport 601714026/2014



„Knowing what I do, there would be no future peace for me if I kept silent...“

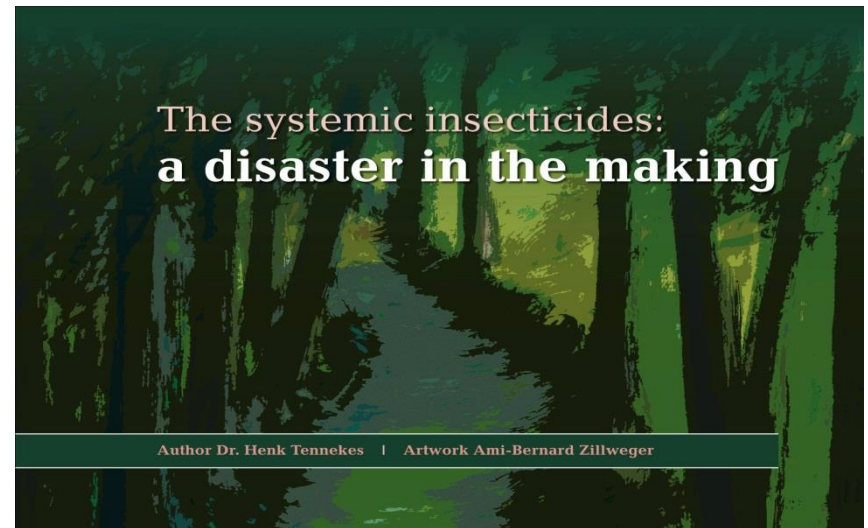
Rachel Carson

In 2010, realising the dire consequences of environmental pollution with neonicotinoid insecticides, I published a book to warn the general public about an impending environmental catastrophe

The book catalogues a tragedy of monumental proportions regarding the loss of insects and subsequent losses of the insect-feeding bird populations in all environments in the Netherlands

The disappearance can be related to the neonicotinoid insecticide imidacloprid, which is a major contaminant of Dutch surface water since 2004

What, in effect, is happening is that the use of imidacloprid is creating a toxic landscape, in which insects are killed off

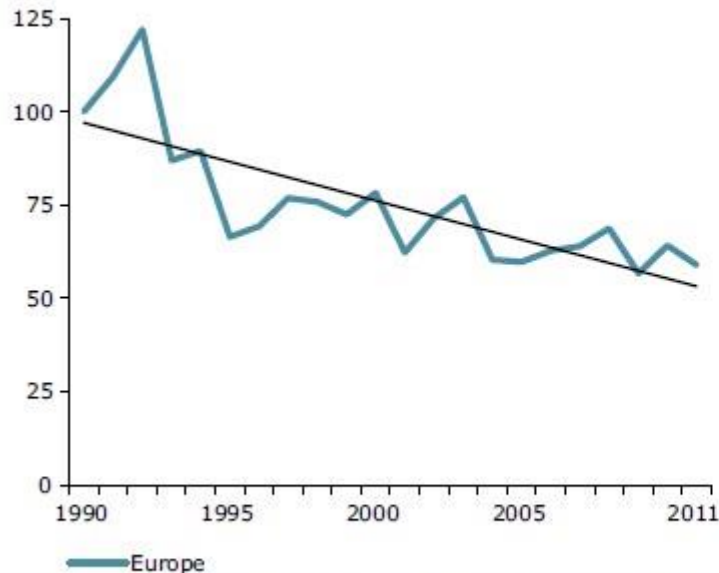


Decline of Butterflies in Europe

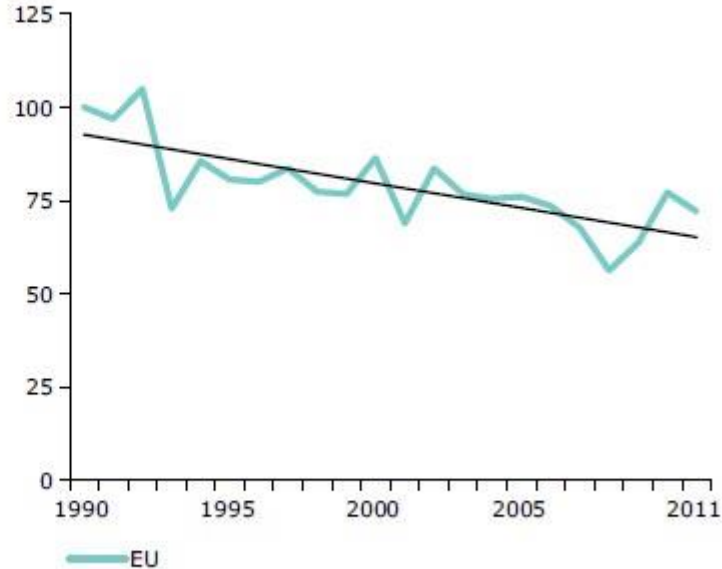


Figure 4.1 The Grassland Butterfly Indicators for Europe (left) and the EU (right)

Butterfly Conservation Europe/Statistics Netherlands



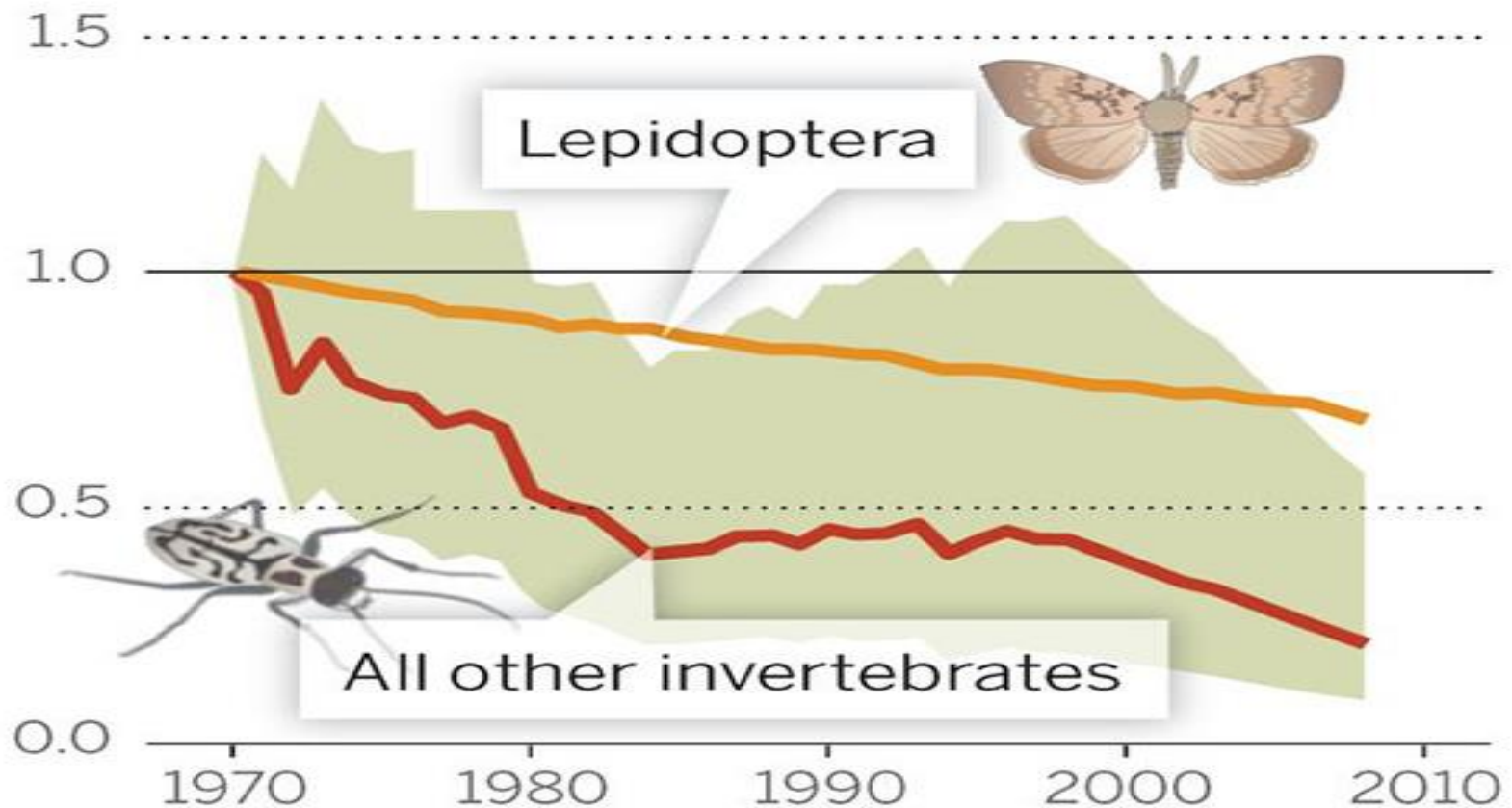
Butterfly Conservation Europe/Statistics Netherlands



Note: The indicators (blue lines) are based on the countries in Map 1.1 and characteristic grassland butterfly species in Figure 2.1 (the black line represents the significant trend). Both indicators show a marked decline.

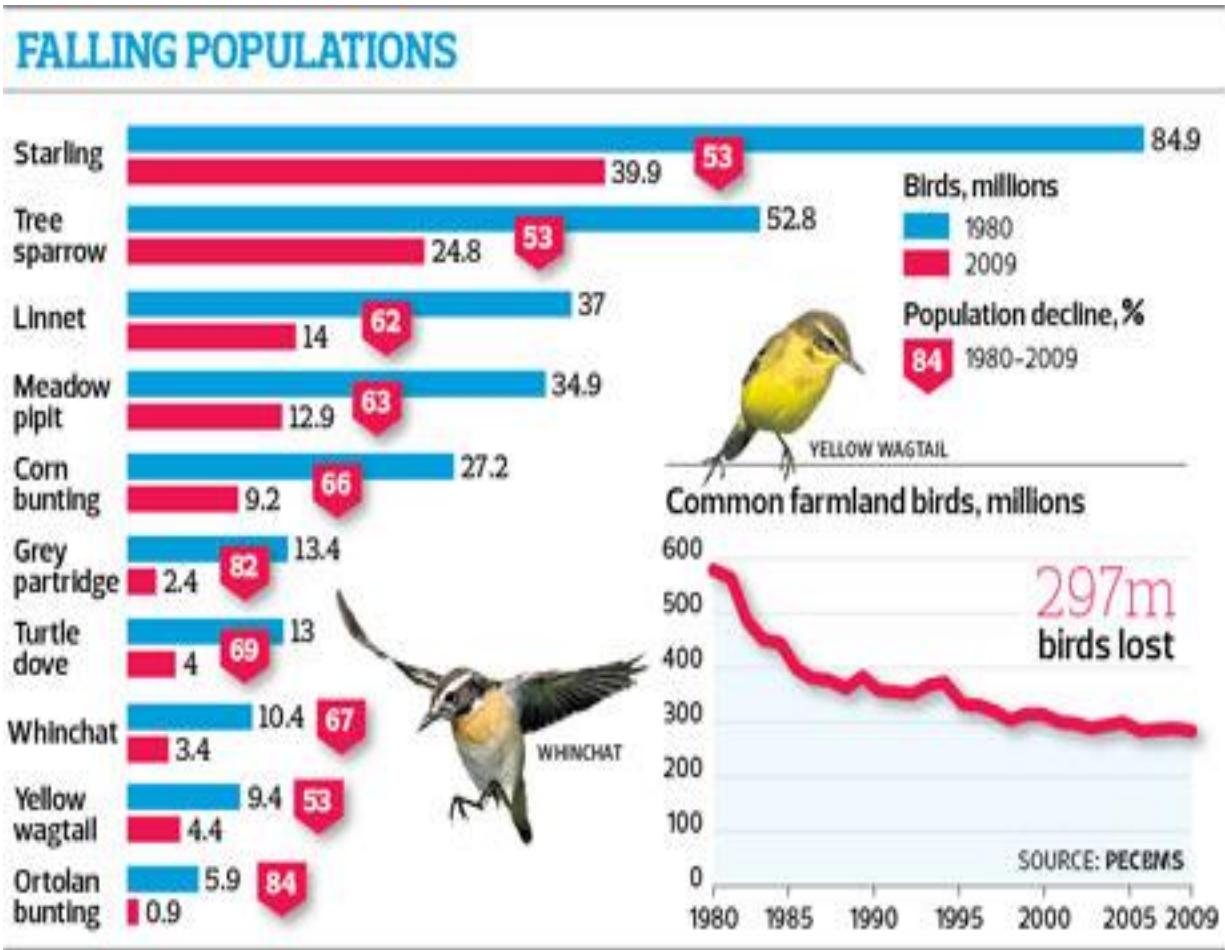
According to global monitoring data for 452 species, there has been a 45 percent decline in invertebrate populations over the past 40 years

Global index of invertebrate abundance



Decline of Farmland Birds in Europe

The Guardian, 26 May 2012



Sustainable Agriculture

Geiger F et al. (2010) Basic Appl Ecol 11(2):97–105
van Lenteren JC BioControl DOI 10.1007/s10526-011-9395-1

Agriculture is a major source of pollution and chemical pesticides have serious negative effects on biodiversity

If biodiversity is to be restored in Europe there must be a Europe-wide shift towards farming with minimal use of pesticides over large areas

Biological pest control is to be strongly encouraged



Chemical Risk Assessment

The Take Home Messages

- **Dose – Response Relationships Are Complex**
Toxicokinetics determine compound concentration at the site of (inter)action
Ergokinetics determine compound interactions with receptors leading to an effect
- **The Time Constant for Dissociation of Bound Receptors is a Major Determinant of Dose – Response Relationships**
Low values may lead to **concentration-dependent toxicity**
High values may lead to **time-dependent toxicity**
Values approaching infinity may lead to **Haber's rule $C \times t = \text{constant}$**
- **Irreversible Receptor Binding with an Associated Irreversible Effect Leads to Time-Cumulative Toxicity**
The Druckrey-Küpfmüller Equation **$C \times T50^n = \text{constant}$** not only describes the dose response of carcinogens but can serve as a general screening tool to identify toxicants that show time-dependent or time-cumulative toxicity. A threshold level may not exist
- **A new risk assessment is needed to evaluate adverse effects that chemicals may have on humans and the environment.**
- **We must adopt time-to-effect approaches in chemical risk analyses to identify chemicals with time-cumulative effects and severely restrict or preferably prohibit their application**